## Constituency and the Grammar of Turn Increments

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Much work in linguistics of the last fifty years has relied on the notion of grammatical "constituents," hierarchically organized groups of words and phrases. Since the 1930s, "constituent" has generally been understood as a schematic group of words which is:

- identifiable in terms of characteristic distributional properties as a recurrent part of a larger unit
- . identifiable as a coherent unit in terms of three types of groupings: syntactic, semantic, and prosodic.

This two-part understanding of constituent incorporates both the insight that a "constituent" is a part of a larger element (1) and the insight that it has internal coherence (2). The notion of "constituent" has proven itself to be valuable to analysts, both within the generative paradigm (see, e.g., Radford 1988, 1997) as well as within a discourse-functional framework (see, e.g., Givón 1995; Nichols 1986; Payne 1990). Langacker (1995, 1997) notes that syntactic distributional criteria for grouping clusters of words may not always coincide with semantic and/or prosodic groupings. He proposes the term classic constituent for a cluster of words in an utterance in which all three groupings coincide. We will also find this concept useful in our analysis.

Moreover, studies from within the Conversation Analysis (CA) tradition have provided evidence for how such "classic constituents" might actually be oriented to by participants as a resources for social action in a conversation. That is, CA studies have shown that what linguists would label grammatical constituents can be formats for strategic interactional functions. Turn taking is a closely monitored and coordinated joint activity, with many turn transitions achieved without any overlap or silence; when overlaps or gaps emerge, they are patterned and accountable. Thus, gap-free turn transition and turn changes that involve overlaps or gaps are all interactionally exploited alternatives.

What conversation analysts, beginning with Sacks, Schegloff, and Jefferson (1974), have suggested is that grammatical constituency (though they have not used this linguistic terminology) is central to the projection of points of possible turn completion (see also Ford and Thompson 1996; Selting 1996). In two influential contributions, C. Goodwin (1979, 1981) demonstrates the variety of interactional factors at work in projecting the ends of syntactic units and in extending a turn beyond the first location of potential turn change ("transition relevance place" [Sacks et al. 1974]). Goodwin's research suggests that turn completion and turn extension are coordinated through at least a combination of gaze and syntax in face-to-face interaction. Some of his key examples of "added segments" are, in fact, "classic constituents," such as Noun Phrases (NPs) and adverbials.

tion points. The collaborative turns he analyzes include units whose structures are or more speakers, provide evidence for the role of syntactic units in projecting comple-1996a, 1996b) shows how collaborative turn sequences, turn units produced by two their recipients are making appreciative contributions. Lerner (1987, 1989, 1991, 1992, speakers adding segments to their assessment turns so as to arrive at completion while recipients mutually coordinate their contributions to description sequences, with cited by M. Goodwin (1980). In that article, she examines the ways that speakers and completion, constituency, and features of prosody, gaze, and sequential action. managing emergent disagreement, while Couper-Kuhlen (1996) looks at the comprojected beyond the first point of syntactic completion, such as adverbial clauses. And Ford, Fox, and Thompson (1996) explore the relationship among possible turn sic constituency" is relevant in analyzing the way English interactants organize repair. and Jasperson (1995) and Fox, Hayashi, and Jasperson (1996) offer evidence that "clasexamines the information management aspects of turn continuations in German. Fox actional functions of additions to turns in Japanese conversations, and Auer (1996) main clauses in English conversations. Mori (1999) and Tanaka (1999) analyze inter-Ford (1993) points to the work of adverbial clause turn extensions as resources for  $\operatorname{\mathsf{plex}}$  manner in which prosody contextualizes  $\mathit{because}$ -clause constituents coming after Similar patterns of constituents as added segments are evident in the examples

Against the backdrop of this research in linguistics and CA, in this chapter we provide further evidence that constituency is functionally exploited by participants in naturally occurring American English conversations; that is, we will suggest that participants in a conversation use constituency (or nonconstituency) as an interactional resource.

The constituents we will be exploring all occur as what Schegloff (1996) terms "increments," constituents that are added to turns that, at a just prior point,

complete actions in a local interactional sequence (Ford and Thompson 1996; Tanaka turn transitions; the "meaning" of a turn can depend on whether the speaker begins carefully constructed and monitored by interactants. Social meaning is attached to 1999). As noted earlier in this chapter, upcoming points of possible completion are are interpretable as possibly complete syntactically and prosodically and as possibly will listen and watch for cues as to whether a recipient is about to begin a turn, and thereby producing a new point of completion. if such immediate uptake does not seem imminent, the speaker may add a unit, pause. A consequence of this split-second timing (Jefferson 1973) is that a speaker just at the termination of the previous turn, in overlap with that turn, or only after a

more talk to what is hearably an already-complete utterance. about how speakers exploit grammatical resources in the systematic way they add vations for the sorts of groupings that linguists call (classic) constituents as well as that the way these increments are used by speakers tells us much about the moti-In this chapter, we examine the interactional use of increments and suggest

Let us now turn to a consideration of what constitutes an "increment."

### What Are Increments?

relevance place," based on prosody, syntax, and sequential action (see Sacks et al. speaker has come to what could have been a completion point, or a "transitiondefined here as any nonmain-clause continuation of a speaker's turn after that tinuation after a possible point of turn completion. That is, an increment will be phrases, signaled at their beginnings with a preposition; or they may be subordiadded increments may take the form of simple NPs; they may be prepositional For the purposes of this work, we define an increment as a nonmain-clause connate clauses, often introduced by a subordinating morpheme. 1974; Oreström 1983; Ford and Thompson 1996; Tanaka 1999). In English such

stituent," we can distinguish two kinds of increments in our data. The first are what ations of the immediately prior possibly completed turn. That is, they can be heard call these Extensions as well. They are increments that are interpretable as continuments" and Schegloff (1996) has termed "extensions." Following Schegloff, we will C. Goodwin (1981: chap. 4) and M. H. Goodwin (1980) have termed "added segrecurrent portions of material in larger units. By focusing on this aspect of "conization of "constituent" given earlier: the way in which "constituents" emerge as (1) the extension is in boldface: as syntactically and semantically coherent with what has come before. In example In this chapter we will be more concerned with portion (1) of the character-

 $\equiv$ 

Bill said that he was at least goin' eighty miles an hour.

 ${\rm \Downarrow}$ with the two of 'em on it.

hour. At this juncture, the utterance is hearably complete syntactically (a complete In this example, the speaker comes to a place of possible completion at the end of

## Constituency and the Grammar of Turn Increments

speaker speaks again. Crucially, when he speaks, he does so not with a syntactically clause), prosodically (low falling intonation), and pragmatically. Nonetheless, the constituents of prior turn units. goin' eighty miles an hour. For our analysis, then, Extensions can be thought of as what had looked like a syntactically complete turn. He produces with the two of independent unit but rather with what can be heard as a syntactic continuation of em on it, which can be interpreted as an adverbial continuation of he was at least

and speaks again. However, in the second type of increment what is added is not appears to be the largest subclass of these syntactically independent constituents, of grammatical types that can occur in this environment, we will focus on what interpretable as a constituent of the possibly completed turn. While there is a range ing cases, as with Extensions, the speaker comes to a place of possible completion those that Ono and Thompson (1994) have called "Unattached NPs."1 These are of that immediately prior turn.2 Consider example (2): interpretable as syntactic constituents, or syntactically integrated continuations NPs that occur as increments after a place of possible completion but that are not Compare example (1) with our second kind of increment. In these contrast-

(2)

Curt: "(Oh Christ)" fifteen thousand dollars wouldn't touch a Co:rd.

Curt: That guy was (dreaming).

fifteen thousand dollars [for an original Co:rd

[Figured he'd impress him.

and sequential action, the speaker adds an increment. In this example the increment in example (2) is an Unattached NP. being a continuation of the prior clause, or what we term an Extension, the increment is not a possible syntactic constituent of That guy was dreaming. Rather than Although That guy was dreaming. is possibly complete in terms of syntax, prosody,

and nineteen were Free Constituents, and of Free Constituents, a great majority separate and previously coded audio data base from Ono and Thompson (1995). the relative frequencies of the types of increments we are discussing, we used a (fifteen) were Unattached NPs. In that data base, out of a total of sixty-four increments, forty-five were lixtensions Figure 2.1 summarizes the distinction we have made. To roughly determine

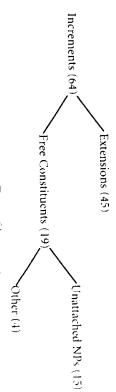


Figure 2.1 Types of increments

In looking closely at constituents added past points of possible completion in the current data, we have found systematic interactional tasks that correspond to the formal and semantic dichotomy of Extensions and Unattached-NP increments. Our collection of cases offers support for the claim that speakers use the structural resources of English to perform different interactional tasks, making use of Extension increments and Unattached NP increments in documentably distinct ways.

In terms of function, what the two types of increments have in common is that they emerge in environments where recipiency is a particular issue; that is, increments are added where there are identifiable problems faced by the speaker in pursuing uptake from a recipient (Heath 1984; Pomerantz 1984). Our data suggest, however, that speakers exploit the formal distinction between Extensions and Unattached NPs for interactional ends. Neither type of increment is interpretable as standing on its own; that is, neither can be taken in context as the beginning of a new and independent clause; but what we have found and will attempt to illustrate here is that Extension increments, as constituents of the preceding clause, continue the action of that turn, while Unattached NP increments, though not new turns, do the functionally separate action of assessing or commenting on the prior turn material.

The next section ("Increments as Extensions") examines some of the interactional work accomplished by increments as Extensions, and "Increments as Unattached NPs" examines the interactional work done by Unattached-NP increments. In "A Comparison of Increments as Extensions and Unattached NPs" we point to the importance of semantic properties for the notion "Extension." "Prosody and Increments" briefly considers prosody. In the final section, we discuss some implications of our findings.

The data for this study consisted of five videotaped conversations and one audiotaped telephone conversation among friends speaking American English. The speakers are all in their twenties or thirties.

## Increments as Extensions

Extensions turn out to be relatively common; we were able to make a collection of forty Extensions, culled from our conversational data base. While all of our Extensions fit the definition given earlier—that is, they are increments that can be heard as syntactic constituents of the immediately prior turn—the Extensions themselves are of a variety of syntactic types, including NPs, adverbs, adverbial phrases, prepositional phrases, relative clauses, and adverbial clauses. Some further examples from our collection follow (the Extensions themselves are given in boldface):

(3)
Have you been to New Orleans?
ever?

We could'a used a little marijuana to get through the weekend.

4)

While our instances of Extensions are heterogeneous in terms of their internal makeup, they are quite homogeneous in their ability to serve as what Tanaka (1999:87) calls "recompleters"; that is, units that are added after a turn has passed through a possible transition-relevance place and "recomplete" that turn. So our Extensions can all be heard as "constituents of" the preceding interance.<sup>4</sup>

We would like to emphasize that Extensions appear to be interactionally homogeneous as well: The one kind of interactional work they all seem to be engaged in is pursuing uptake by continuing the action of the just possibly-completed turn. In other words, they are attempted solutions to a lack of displayed recipiency. The speaker may be pursuing acknowledgment of or uptake to his/her utterance, pursuing a gazing recipient, or dealing with some other kind of "trouble" with the way the utterance so far is being treated by the addressees. For example, Goodwin (1979) provides illustrations of Extensions being related to the pursuit of a gazing recipient, and Ford (1993: chap. 5) discusses the ways in which adverbial clause Extensions are used when there is some perceived trouble with recipiency, such as lack of uptake, which provides an interactional warrant for further elaboration. In example (5), for instance, S adds an *if*-clause after failing to receive any acknowledgment or uptake from the recipient (taken from Ford 1993: 108; see appendix at end of chapter for transcription symbols):

!

- S: Ya know when it- (.) came from the:: I think air conditioning system, it drips on the front of the cars?
- ⇒ S: If you park in a certain place?

R: Mm hmm

Ford argues against the notion of "afterthought," a term that draws attention away from the interactional factors involved in turn construction; she suggests that researchers need to consider how such increments could be "products of speaker-recipient negotiation specifically aimed at achieving interactional ends" (ibid.: 102). And one of those interactional ends is clearly pursuing uptake.

Let us now turn to a discussion of some of the Extensions in the current data to see in more detail the recipiency work that is being accomplished here.

Example (6) comes from a videotaped conversation known as "Chinese Dinner," so named because in it two heterosexual couples and two children are eating takeout food from a Chinese restaurant. These are data shared with us by Charles and Mariorie Goodwin, and our thinking about this example is entirely based on the groundbreaking interactional analysis in Goodwin 1981: 134–135 (also discussed in Goodwin 1989, 1995). The meal takes place at the home of John and Beth; the other couple, Ann and Don, are guests. Ann is visibly pregnant. In the fragment we will be concerned with, Ann is holding her hands to her waist; John is asking her a question and by doing so is proffering a topic for further ralls.

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6)

John: An' how are you feeling?

these days,

Fa:t. I can't- I don't have a waist anymore

ous clause as a temporal adverbial. a pause, John adds these days, which can be retroactively integrated into the previcency pair, a fundamental interactional sequence (Schegloff and Sacks 1973)). After prosodically (high rising intonation), and pragmatically (the first part of an adja-At this juncture, the utterance is possibly complete syntactically (a complete clause), In this example, John comes to a place of possible completion at the end of feeling

condition that is regularly oriented to by speakers as problematic (see Goodwin 1979, completion after feeling, Ann, the intended recipient, is looking down at her plate. and John begins a new sequence with his question. As John's turn reaches possible and Don have been attending to the child. Ann leans back and touches her waist, 1981). Moreover, there is no uptake or response from the recipient (Figure 2.2). Thus, John has come to a place of possible completion without a gazing recipient, a Just prior to this fragment, one of the children present has been talking. Ann

of the food serves as a "hitch," a momentary break in the progressivity of an action ues the utterance, using the Extension these days. It is possible that the movement responding recipient, he removes the food from his still-open mouth and continput a piece of food into his open mouth. Finding that he does not have a gazing or (Goodwin 1979, 1981), which attracts Ann's gaze. In fact, Ann brings her gaze to in order to correct such problems. As John comes to the end of feeling, he starts to John just as he begins the Extension. This utterance is a wonderful example of the extent to which speakers will go



Figure 2.2. Ann (far left) looking down as John (middle) completes feeling

Because speakers regularly treat the lack of gazing recipient as problematic

culture that a pregnant woman may feel many different ways in the course of her cipient--Ann is pregnant, and it is a commonly held belief among members of this any Extension of the turn here would deal in some minimal way with the possible sion in just this way—she hears it as about her pregnancy, which she demonstrates trast to, for example, a (nonpregnant) man. And Ann apparently hears the Extenmay be relevant topics of discussion to direct toward a pregnant woman, in conpregnancy. Thus, time (these days) and feelings changing over small periods of time intended recipient. These days, on the other hand, clearly specifies Ann as the retion without seeing John's gaze direction, might not have found herself to be the to either Don or Ann; hence it is possible that Ann, hearing that particular ques-An' how are you feeling?, on the one hand, could have been meaningfully addressed might now meet the gaze of the speaker. The Extension in example (6), however, problem, offering a renewed point of possible completion, where the recipient with her answer (Fat). is designed to elicit the recipiency of Ann in an interesting manner. The question

specify the question/topic proffer. sion serves not to specify Ann as the recipient but to further specify the topic that is being proffered. These days, in this interpretation, could thus serve to further been secured by the beginning of the Extension, it is possible that John's Exten-A similar but alternative analysis is also available. Since Ann's gaze has already

syntax. We thus see that "constituency" can be usefully viewed as arising from ination of the same action is done through the resource of syntactic Extension; concontinuing the same action as before, namely, a question/topic proffer. A continuan Extension to his turn because of lack of displayed recipiency or uptake from teractional work that speakers do in real time and that is expandable in real time. is a pattern we find throughout the data—continued action done with continuing tinued action is done in the form of a constituent of the prior syntactic unit. This Ann. Moreover, John can be heard to be continuing the same turn as before and Whatever the best analysis for the Extension is, it is clear that John produces

score the importance of both semantics and syntax for interpreting increments one case (a), with the rest of the clause "wrapped around" the adjunct: as marked by prosody. Consider example (7), in which temporal adverbials are, in tactically coherent units, that is, units without possibly complete subcomponents ation of," because it relates back to the previous turn unit as a temporal adverbial and Unattached NPs," below), the NP in example (6) is an Extension, a "continuintegral manner for English. This case provides us with an opportunity to underable as an Unattached NP, since it provides a temporal adjunct in a syntactically fact, produced as parts of single syntactic and prosodic units, without gaps and, in While NPs may be Unattached (see "A Comparison of Increments as Extensions Temporal adverbials are regularly included at the ends of intonationally and syn-While the Extension in example (6) is in the form of an NP, it is not interpret-

Adverbial NPs

(a) they went the next day to find (0.2) what room I lived in (SN4.311)

(SN4:387 every<u>dary</u>. (AR:192)

It is precisely the recurrence of adverbial NPs as fully integrated constituents of larger utterances that makes them useful formats for adding increments as Extensions of prior actions. In contrast, in the case of Unattached NP increments ("A Comparison of Increments as Extensions and Unattached NPs"), there is no possibility of syntactic integration with the prior turn material.

Our next Extension, in example (8), was introduced as example (1) and comes from the videotape known as "Auto Discussion," also provided to us by the Goodwins. This tape was made in the seventies at a backyard picnic in central Ohio. When the tape begins there are three heterosexual couples present; the women gradually remove themselves from the picnic table, leaving behind the three men. The men's conversation revolves for the most part around cars and the "guys" who build and race them. Just prior to the following fragment they have been discussing one such guy, a guy by the name of Little owns a snowmobile, and Gary has just told a story about how Little with his snowmobile raced some other guy with a motorcycle, on dry land, and Little beat his ass! In the interactional moment, Gary has not been very successful in securing enthusiastic recipiency from his interlocutors, but Curt eventually displays appreciation for Gary's story with *Those snowmobiles are fast*. Gary now offers another story:

 $\widehat{x}$ 

AD: 36

Gary: Well he took Bill (Silvio), a good friend of mine, he weighs about two hunderd'n s::(0.5) two hunderd (fifty)-five pounds I think he weighs. Took him for a ride on that'n Bill said that he was at least goin' eighty miles an hour.

with the 1 two of 'cm on it.

As noted, Gary was faced with a lack of displayed appreciation and uptake at crucial points in his earlier story about Little and the guy with the motorcycle. In the fragment given in example (8), Gary is again faced with problems in recipiency: the two other men present, Curt and Mike, are not looking at Gary at all until the turn comes to a place of possible completion (at *hour*), at which point only Curt looks over to Gary. But at this point Gary is looking toward Mike; thus, Gary has the problem of reaching a point of possible completion while directing his gaze toward a nongazing recipient (Figure 2.3). Gary has thus not yet secured an appropriately appreciative audience.

Gary then adds an Extension, With the two of 'em on it, an increment in pursuit of an appropriately appreciative audience. This Extension emphasizes what Gary is treating as the remarkable aspect of the event he is sharing—that a snow-mobile could go that fast even with so much weight on it. Gary withdraws his gaze from Mike while producing the Extension, approximately at the word 'em (them), and finds Curt as a gazing recipient (Figure 2.4). The Extension thus continues the action of the previous turn unit and provides a new place of possible completion,



Figure 2.3. Gary directing his gaze at Mike, a non-gazing recipient (left to right: Curt, Cary, Mike).

where appropriate recipiency could be displayed (and, in fact, is displayed, albeit by a different recipient).

The intonational and gestural components of Gary's Extension can be interpreted as indicating salience. The Extension reaches its highest pitch on the word two, while the hand configuration that accompanies the Extension is iconic (McNeill 1992), being two fingers pointed downward and slightly apart, like two men on a snowmobile. The gesture also has a "beat" component to it, an up-and-



Figure 2.4. Gary gazes toward Curt, a gazing recipient (left to right: Cirt, Gars, and Mike).

down motion with the hand configuration maintained, which McNeill would analyze as a speaker-indication of importance. So Gary has built this Extension to draw attention and to elicit recipiency. These gestural features of the Extension enable it to do the work of pursuing recipiency in a fashion specifically tailored to prompting the actions relevant on completion of a story: assessment, appreciation, and displays of understanding (Jefferson 1978).

In this case, then, as in example (6), an Extension increment is used in order to address the problem of lack of appropriate recipiency; the Extension addresses the recipiency problems by continuing the action of the turn and providing a second place of possible completion, where another speaker could offer a show of alignment with the unfolding story. Cary's Extension thus provides another location at which he might secure an appreciative response from one of his recipients.

Our last example of an Extension increment, (9), comes from a videotaped interaction known as "Game Night." This tape was made in 1995, at the home of two of the participants, Terry and Pam, a lesbian couple. During this interaction, Terry, Pam, and three other women friends are playing a game of Pictionary. In the fragment we will be examining, the group is taking a break from the game because Pam is talking on the phone and one of the other participants, Cindy, is leaving to get ice cream. Three participants remain seated at the game table (Terry, Rachel, and Abbie); Cindy is off-camera, preparing to leave. The participants have been discussing a picture on the wall, drawn by the ten-year-old nephew of Pam and done in the style of Toulouse-Lautrec. The question of whether the boy copied a real Toulouse-Lautrec drawing or did an original drawing in the style of Toulouse-Lautrec has come up. Terry has suggested that he was studying Toulouse-Lautrec in school, and the talk continues:

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(9)
                                                                        \parallel
                                                                                             IJ
                                                                                                                      IJ
                                                                                                                      Terry: [We had him, (.) this summer, (1.5) for fixye weeks
                                                                                                                                                                                                                                                                                                                                                                                                   Cindy: But still to be able to pr- reproduce it like that
                                                                                                                                                  Abbie: [Ah:
                                                                                                                                                                                               Terry: Yup.
                                                                                                                                                                                                                                                  Rachel: Is that his name? John Holms? ((reading from picture))
                                                                                                                                                                                                                                                                                                                                                 Terry: [It was cool. (.) We were very impressed. (.) He's an artistic little guy
                                                                                                                                                                                                                                                                                                                                                                              Rachel: [Wow.
                                               Rachel: Oh really.
                                                                                                                                                                                                                                                                                                   Rachel: I should say so.
Rachel: Where.
                                                                      when we were out at the campground?
                                                                                                                                                                           (0.8)
                                                                                                                                                                                                                             (0.2)
                                                                                                                                                                                                                                                                              (2.2)
                                                                                                    (0.8)
                                                                                                                                                                                                                                                                                                                                 (0.2)
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At the first arrowed line, Terry starts to tell a piece of news about this young nephew, possibly prefacing a story. The turn is not possibly complete at *him*, since

ears, the perception of it being a next but not the last part of a list). Furthermore, rise-fall profile as had him but does not come down as low as him, creating, to our prosodically summer does not come to a terminal rise or fall (it also has the same turn is ambivalent as to whether or not it is possibly complete at summer, since pragmatically that would not be a complete action at this sequential location; the sion is syntactically continuous with the prior turn unit, treating it as still ongoing recipient, and produces an Extension to the possibly completed turn. The Extencomes to a place of possible completion, receives no uptake from the intended from Rachel (Oh really). In this example, then, as in the other cases, the speaker diately upon completion of this Extension, Terry receives a more aligned uptake ends with the rising intonation characteristic of "try-marked" (Sacks and Schegloff Terry adds the Extension increment when we were out at the campground?, which ment and no other uptake at this point. After a fairly long silence (second arrow), recipient whose gaze Terry has secured, produces only a slight lateral head movepossible completion, with her gaze toward Rachel (first arrow). But Rachel, the relevance place. After the production of weeks, Terry brings the turn to a place of 1976:122). She thus treats this turn space as her own and not as a transitionplaying a search or calculation of some kind (Goodwin 1981:79; Argyle and Cook just at the completion of summer. Terry withdraws her gaze to look upward, displace and thus a second opportunity for her recipient to offer a response. in syntax and in action. Terry's Extension provides a second transition-relevance 1979) turns, turns that are built to clicit at least minimal tokens of uptake. Imme-

To summarize the discussion so far, we have found the following interactional features in all of our examples of Extension increments:

- They occur in the environment of lack of uptake at a transition-relevance place.
- They provide a second transition-relevance place, at which the recipient could display recipiency.
- Rather than doing a new action, they continue the action of the extended turn, often by further specifying when, where, or with whom the event being related took place.

Grammatically, we find that adjuncts—prepositional phrases, adverbial NPs, and adverbial clauses—that *could* have occurred as *constituents*, as integral parts, of clauses (as shown in example (7)) are used by speakers as Extensions. Through this grammatical and interactional practice, speakers display that what they are doing with the Extension is not to be heard as "starting something new" but rather as a continuation of what they had just been saying.

## Increments as Unattached NPs

The examples we have been examining are instances of increments that could serve as grammatical constituents of a turn in progress; such increments work retroactively on the previous turn unit, the one that was possibly complete, reinterpret-

Constituency and the Grammar of Turn Increments

ent, a model for the kind of response the speaker may be pursuing from the recipiunit. This stance display serves as a standard or model of alignment for the recipitoward what has just been said or an assessment of a referent from the previous siveness (after lack of uptake), Unattached-NP increments also display a stance tinct kind of interactional work: in addition to providing an additional transitioncompletion and then adds an NP that cannot he interpreted as a syntactic part of previous turn segment. In these cases, the speaker comes to a place of possible tached-NP increments do not do so by extending the syntax or the action of the different kind of increment. While they pursue uptake from a recipient, Unating that unit as still in progress. In contrast, the next set of examples illustrates a relevance place, a new point at which a recipient could display appropriate responthat turn. In our data, we have found that Unattached-NP increments do a disthe just possibly-completed turn; that is, the NP is not a syntactic "constituent of"

of time. As predicted, the owner rejects the offer of \$15,000. Although it is not the prior warning from the owner of the Cords that such an offer would be a waste nia comes to Ohio with \$15,000 cash in hand to buy one of those Cords, in spite of two original Cords (a valued car from the 1930s). In the story, a guy from Califor-(2), comes from "Auto Discussion." Mike has just told a story about a guy with both Curt and Gary are acting as engaged recipients during the course of the story: possible from the video to see to whom Mike's gaze is directed during the story, Our first example of an Unattached-NP increment, (10), previewed in example

Curt: That guy was (dreaming). Mike: The guy ended up turnin' around'n goin back 'cause [he wasn' about to fifteen thousand dollars [for an original Co:rd. thousand dollars wouldn't touch a Co:rd [Figured he'd impress him [°(Oh Christ). fifteen

(0.7)

10

Bee: She had a ho:(hh)rse hh .hh

as is a return to turn-by-turn talk. In this fragment, Curt provides an appreciation of stories are interactionally delicate spaces; appreciation of the story is relevant, an original Cord. Curt produces this Unattached NP in a scornful tone, and it proor assessment from Mike. But Curt's turn also gets no ratification or second from told (with That guy was (dreaming)), possibly in pursuit of a second appreciation arrowed line; he again offers an understanding and stance toward the story just up in overlap with the completion of Mike's turn). Curt thus tries again, at the second Curt's displayed understanding (perhaps because Curt's appreciation ends teen thousand dollars wouldn't touch a Cord). But Mike does not acknowledge or of the story by showing that he understands the significance of it (Oh Christ. fifturn but also of his story. As Jefferson (1978) and others have noted, possible ends Mike. Curt then adds an Unattached-NP increment—fifteen thousand dollars for In this excerpt, Mike comes to a place of possible completion not only of a

> recipient might take at this point. Notice that there is no syntactic integrity benist" of the story, a display that can be seen as a prompt for the sort of action the tween this increment and the clause that Curt has just completed. vides yet another display of Curt's assessment of and stance toward the "antago-

sifying. As we have suggested, Curt's Unattached-NP increment, fifteen thousand and even modeling recipiency action in the context of Mike's lack of uptake of Curt's first appreciation display, we can ing to summarize, evaluate, and assess the absurdity of anyone thinking they could outlandishness of the antagonist's actions; Curt's Unattached NP is indeed servof turns tend to be used for assessing, evaluating, summarizing, labeling, and clastions, as pointed out in Ono and Thompson (1994), Unattached NPs at the ends see this upgrade of assessment and stance being used as a strategy for attracting get a Cord for fifteen thousand dollars. Given that the NP increment is produced dollars for an original Cord, can be seen as a display of specific appreciation for the Why does Curt use an Unattached-NP increment here? In English conversa-

an announcement that is also a topic proffer: touch for a while, are talking on the telephone. In example (11), Bee begins with In this conversation, two women, who used to be friends but who have not been in Example (11) comes from an audiotaped conversation known as "Two Girls."

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Ξ
                       ₩ ₩
                                                                                                                                                                                                  (bg:way in Bee's first turn is a marked pronunciation of lov)
                                                                                                             4
                                                                  5
                                                                                                              Ava: Oh really?
                                                                                                                                                            Ava: Who?
                                                                                                                                                                              Bee: Oh Sibbie's sistuh ['sister'] had a ba:by bo:way.
                                          Bee: [She had it yesterday.
                                                                Ava: [o (That's nice) o
Ava: °Je:sus Christ. °
                                                                                        Bee: Myeah,
                                                                                                                                    Bee: Sibbie's sister.
                    Ten:: pou:nds.
```

starting with a pre-announcement (such as Guess what.). A pre-announcement is being heralded by a pre-announcement; this may partially account for the probexample the announcement (Oh Sibbie's sistuh had a baby boway) is done without is produced (cf. Terasaki 1976; Levinson 1983; Schegloff 1996). Notice that in this already heard it). It is generally after such a go-ahead that the announcement itself namely a go-ahead (or pre-emption of the prefaced news, in case the recipient has itself a first pair part, which makes relevant a second pair part from the recipient to end. It is common for announcements to be done in an expanded sequence type. lems of recipiency that ensue. As an announcement sequence, this fragment is problematic from beginning

(11) the response from Ava at line 2 (Who?) is not an assessment but is rather that respect to the news. Whether or not we make this argument, notice that in example ment (e.g., that's great) or a display of appreciation or interest (e.g., oh really?) with news is also a first pair part, which makes relevant as a second pair part an assess-Now it has been argued (Terasaki 1976) that an announcement or a piece of

ever-possible but dispreferred response, the Next Turn Repair Initiator (NTRI) (Schegloff, Jefferson, and Sacks 1977). An NTRI indicates trouble with the preceding turn and requests that the next turn be taken up with addressing the trouble. Because it is concerned with initiating repair rather than with doing the next expected action, it temporarily delays the progress of the sequence.

somewhat unclear what stance Bee is taking to the birth in general, we now have a baby's weight is being constructed as worthy of note. Thus, although it remains pitch on ten and the sound stretches on both ten and pounds—is indicative of asthere is no way to interpret it as a syntactic continuation of the prior turn segment Unattached-NP increment, Ten:: pounds. This NP qualifies as Unattached in that terday. There is no uptake from Ava here, and Bee speaks again (line 8) with an appreciative response to the news than her earlier oh really?) with She had it yesfrom Ava that is extremely difficult to hear hut sounds like That's nice, an even less ing to Ava at line 5, Bee then speaks again at line 7 (overlapping a contribution the news, a framing that often takes place in the pre-announcement.8 After respond-This may be because Bee did not indicate what stance she was going to take toward possible to tell if Ava finds the event positive or negative, a joy or a misfortune undifferentiated sort that it indicates no clear stance toward the event-it is not to the newsworthiness of the announcement. However, this response is of such an Oh really? is a display of interest, a kind of appreciation to the degree that it orients we might expect Ava to produce the now-delayed appreciation/assessment. Ava's the reference, the announcement's sequential relevance is reinstated, and at line 4 rather than a further action in the announcement sequence. With the redoing of knowledging the remarkableness of the size. finally gets an appreciation from Ava, who produces a very quiet Jesus Christ, acclear affective stance toward the size of the baby: he is remarkably big. And this sessment activity (see Goodwin and Goodwin 1987, 1992), and it is clear that the The phonological prominence given to this NP—created by the steep increase in Thus line 3 provides a redoing of the problematic reference (Sibbie's sister)

In this example, then, we see an Unattached-NP increment that provides another place of possible uptake after a noticeable lack of uptake from the recipient. This NP increment can again be seen as a comment and display of the stance that the recipient might take toward the speaker's turn. The Unattached NP, produced with a markedly high pitch, works nicely to prompt an affective display from the recipient.

Example (12) is from the "Game Night" conversation. This fragment comes from earlier in the discussion about the artistic young nephew of Pam:

```
(12) Rachel: The Cafe de Yin Yang? When he was tw-te:n?
Terry: Yeah.
(0.8)
Rachel: [That is really something.
Terry: [An' an' no:te the uh
(0.5)
Rachel: Is that [a real feather on there?
Terry: [Y'see on the dress? the yin yang? symbols?
```

```
Rachel: Oh my go:sh.

⇒ Terry: I was so impressed.

⇒ (I mean) this kid.

(1.2)

Rachel: Ten years old.

Terry: "Yeah."
```

and gaze draw the attention of the other two participants at the table to the drawa position looking at the remarkable drawing on the wall, asks a question that is on Cindy's coat (Cindy is the participant in the process of leaving to get ice cream). true, then Terry has reached a point of possible completion without a gaze-secured that she is still looking at the drawing on the wall while Terry is talking. If this is the video exactly what Rachel's gaze is focused on during that head shake, it seems ciative wrinkling of the eyebrows and lateral head shake. While it is unclear from to return to her chair. Rachel gives no verbal response to this but does an appredelivered at a much higher pitch register than her previous utterance, and she starts with Oh my gosh. Terry gives the upshot of her noticing with I was so impressed Rachel's question about the feather). Rachel displays appreciation for this detail the yin yang symbols drawn on the figure's dress (and does not explicitly answer thing might be—a real feather is suggested. But Terry goes on to bring attention to drawing. While Terry is doing that, Rachel seems to guess at what that remarkable go over to the drawing to point out something she too finds remarkable about the ing on the wall. In fact, during An' an' note Terry starts to get up from her chair to also a topic proffer—The Cafe de Yin Yang? When he was tw- ten? Her question There is a slight "lull" in the conversation after that, and then Rachel, settling into recipient. Just prior to this fragment, the participants have been talking about the dust

So there are potentially two dimensions along which Terry could view Rachel's response as not entirely satisfactory. First, Terry may view the bit of appreciative behavior from Rachel as inadequate to the "impressiveness" that has just been displayed. And second, Rachel may be gazing at the drawing rather than at Terry. What is clear is that the end of Terry's turn (at the first arrow) is not immediately met with a next verbal turn. This places special responsibilities on Terry for engendering continued talk; that is, her turn has failed to be sequentially implicative (Schegloff and Sacks 1973).

In the environment of no immediate next turn, Terry produces the Unattached-NP increment we are interested in—(I mean) this kid. We take the possible occurrence of I mean before the NP (parentheses indicating uncertainty of hearing) to be an epistemic "discourse marker" rather than being interpretable compositionally as a subject and main verb (Schiffrin 1987; Redeker 1991). The NP this kid cannot be interpreted as a continuation of the prior turn segment, nor can it be seen as a repair replacement of any syntactic constituent of the prior unit, which is a possible interpretation of an NP that follows I mean. This Unattached NP is produced at the same high pitch as the segment before it and with gaze toward Rachel. With this particular pitch pattern, it serves to provide a further stance display toward the referent (how amazing and impressive this child is). It displays

Terry's stance and may thus serve as a standard toward which the recipient should orient in producing her response.

What we have seen so far suggests that Unattached-NP increments have the following features in common with Extension increments:

- They occur in the environment of lack of uptake at a transition-relevance place.
- They provide a second transition-relevance place, at which the recipient could display recipiency.

But unlike the Extension increments, these Unattached-NP increments seem to be recurrently used for an additional purpose:

They display an assessment and stance with respect to the referent. They offer
a standard toward which the recipient could orient in producing a response,
a display of the sort of response the speaker is pursuing.

# A Comparison of Increments as Extensions and Unattached NPs

Unattached NPs are similar in certain facets of the interactional work they do, and yet they also differ in interactionally consequential ways. They are both used in the environment of problems with recipient uptake, and they both provide for another place of possible completion, a new location at which the recipient could produce a responsive turn. This is the turn-taking work that they both accomplish. But why might speakers use one or the other of these two kinds of increments? How are these resources distinct with respect to how they function in their sequential environments?

We propose that the format of an increment is iconic with the interactional work that increment does. A speaker comes to a point when his/her recipient could, but does not immediately, begin a responsive turn. This presents a problem to which there is more than a single solution. The Extension format embodies continuation of a same action rather than the performance of a new, next, or even repeated action. As "continuations of," Extensions created renewed points of possible complents, or continuations, of the "same" turn, so are they interactionally done as constituents, or continuations, of the "same" turn, so are they interactionally heard as part of the "same" turn. In fact, it is possible that, interactionally, adding an Extension retroactively "deletes" the last place of possible completion and makes the end of the Extension hearable as the "first" real place of possible completion for the turn, thereby masking the interactional trouble that the lack of uptake could represent.

In contrast, Unattached-NP increments are not constituents, or continuations of, their prior turns; they are not syntactically integrated into the prior segment, and they do not necessarily continue the action of the possibly completed turn. In our data, Unattached-NP increments embody the performance of a new action, one of assessing and stance-taking toward a referent. Even if the possibly completed

prior turn is an assessment and the Unattached-NP increment may in some sense do the same action, it is nonetheless presented as a further assessment rather than as a continuation of the first assessment. The syntactic form of an Unattached NP, a unit not integrated into the prior turn unit, is well tailored to its interactional function. That is, a less syntactically integrated form does a less interactionally integrated action. Whereas Extension increments add more of the same form and action, Unattached-NP increments are not formally connected to the prior turn segment, but they do function as continuations in pursuit of uptake by modeling the type of stance or assessment that the speaker is pursuing from his/her recipient.

Another dimension of this form—action relationship can be found if we look in greater detail at the kinds of phrases that occur as Extensions and as Unattached-NP increments. Extensions are done as prototypical "endings" of a turn, prototypical "completions." We would then expect them to be done with semanticosyntactic items that can be easily interpreted as "endings" or "completions." And this is in fact what we have found: Extensions are regularly done with prepositional phrases, temporal or locative adverbials, infinitival clauses, relative clauses, and other subordinate clauses—all of which regularly occur at the ends of turns in utterances in general. This is in keeping with our observation that Extensions often further specify where, when, or with whom the event being related took place. Even when simple NPs are interpretable as Extensions, as with *these days* in example (6), they are regularly temporal phrases. Consider the following examples of temporal NPs that serve as Extensions in example (13):

(13)

- (a) Ah, John wz determining that.a minute ago.
- (b) I gave, I gave up smoking cigarettes:.. I-uh one-one week ago t'day. actually.<sup>10</sup>
- (c) Mm, tch! I wz gonnuh call you. last week someti(h)me .hhhhh!

As we have attempted to underscore in this discussion, Unattached-NP increments in our collection are not possible constituents of their prior turn segments, and they are never temporal or locative phrases. They are either NPs that express a stance or attitude (often with prosodic salience), as with this kid (example [12]), or NPs that express degree or amount, as with ten pounds (example [11]) or fifteen thousand dollars for an original Cord (example [10]). Both are often found in assessments (Goodwin and Goodwin 1987, 1992; Pomerantz 1984); how good or impressive something is can be indicated by an epithet NP or by an expression of its quantity or size. It is thus clear that, even if we compare only NPs in the two collections, there is an important difference in the semantic classes exhibited in them.

In this semantic sense, then, an interaction-based understanding of added constituents requires more than an analysis of the internal syntactic structure of an increment. For example, in order to account for the fact that *these days* is a con-

stituent of its immediately prior turn while ten pounds is not, we need to recognize that these days is a temporal phrase, while ten pounds is a term of degree or amount. We cannot rely entirely on the fact that both are NPs to help us decide if they are continuations of the prior turn or not.

### Prosody and Increments

For another perspective on the cases in our collection, we can explore the Extension versus Unattached NP distinction further by examining the prosodic formats of each increment type. Based on important research on prosody and turn completion (especially Auer 1996; Couper-Kuhlen 1996; Ford 1993; Ford and Thompson 1996; Ford et al. 1996; Local 1992), we would expect there to be prosodic correlates to the distinction we are proposing between Extensions and Unattached NPs. In particular, Extensions and Unattached NPs may differ in terms of pitch reset. The Extensions, which are syntactic continuations of the immediately prior possibly completed turn, would be uttered with the pitch of the first accented syllable at the same pitch as, or lower than, the last accented syllable of the just-completed turn. In contrast, the Unattached NPs, which are not syntactic continuations and which, we have argued, can be interpreted as new conversational actions, may be uttered with pitch reset (cf. Couper-Kuhlen 1996 for pitch reset in two types of conversational actions in because-clauses; we are grateful to her for valuable discussion of this point).

In the cases we have examined, there is indeed some support for these expectations. For example, the pitch patterns in four of the six cases we have closely examined here are in line with these predictions. The two exceptions are both Extensions (examples [6] and [8]). Unfortunately, in both of these the sound quality does not allow us to extract a pitch trace (an acoustic measurement of fundamental frequency), but auditorily they appear to be counterexamples. In one of these two, namely example (6), with these days, testing our predictions is complicated by the overall pitch rise in this utterance (see note 4). The other problematic instance is example (8), with with the two of en on it, where two sounds distinctly higher in pitch than the preceding accented syllable. This example, repeated here, is especially interesting because it is a kind of "blend" of our two types of increments: the increment is a prepositional phrase, which is a canonical example of an Extension, but it contains a numeral which forms part of an expression of stance, as we have argued that our Unattached NPs do.

 $\widehat{\mathfrak{S}}$ 

Gary: Well he took Bill (Silvio). a good friend of mine, he weighs about two hunderd'n s::(0.5) two hunderd (fifty)-five pounds I think he weighs. Took him for a ride on that'n Bill said that he was at least goin' eighty miles an hour. with the T two of 'em on it.

We surmise that the pitch reset could be related to the stance-expressing function, which "overrides" the continuation-of-same-action function that we have suggested Extensions usually have.

At this point, then, we take the existence of prosodic correlates to be worth pursuing further, but with our current data base we are not able to make a case for a clear correlation. We keenly anticipate future research that will shed additional light on this question.

### Conclusions

In this chapter we have examined a common occurrence in conversation, the addition of more talk by a single speaker in the interactionally sensitive and consequential location of a just possibly-completed turn. As Sacks et al. suggest in their 1974 account of turn-taking, one important functional component of a turn at talk, a central concern for a speaker in producing a turn, is that there be a subsequent and responsive turn by a recipient. Increments added past points of possible turn completion offer one way of dealing with the interactional contingency that emerges when uptake is not immediately forthcoming upon possible turn completion. Not surprisingly, given the manifold sequential contexts for turns and the manifold actions that can be taken in turns, increments are not homogeneous in form or function.

In this study, we have explored some of the ways that increments are used in a sample of American English interactions. We have found interactional consequences for variation in the form of increments and in their relationships with just-completed turn units. Looking at the classic constituents that are used as increments, we have found that while they always address problems of recipiency and uptake, they deal with such problems in distinct and iconic ways. Specifically, increments that are syntactic Extensions of prior turn segments function as action continuations, adding more to the same turn action. As integrated continuations of syntactic structures, Extensions produce renewed opportunities for recipient uptake, but they do not produce new or different actions. In contrast, when speakers produce Unattached NP increments, the actions characteristically involve stance displays or assessments. Thus, these nonintegrated increments are vehicles for accomplishing separate actions, actions such as assessing or displaying a stance that can provide recipients with a model or standard for the type of response the speaker is pursuing.

We have emphasized that the way in which Extension increments can do their interactional work is related to the recurrent use of these same types of phrases and clauses as integrated parts of larger utterances. This is clearly a language-specific matter. Work on grammar and interaction in Japanese has suggested a radically different way of using "added segments" for interactional goals, given the radically different way in which Japanese grammar emerges from interactional patterns (see especially Hayashi 2000, 2001; Mori 1999; and Tanaka 1999 for insightful discussions). We look forward to much more research on conversation in a wide range of languages to uncover the way in which grammatical resources and interactional patterns work together to allow speakers to accomplish their interpersonal goals.

Our work here has been in the spirit of seeking functional contexts and sources for recurrent linguistic resources. We have provided support for the interactional

to us as linguists, we hope to have contributed to the enterprise of building a truly show the interactional relevance of the analytic category of constituent, one dear tensions and Unattached NPs in English, in the interactionally salient context of relevance of the classic notion of constituent and for the distinction between Exshould be well grounded in the natural social-interactional habitat of language use functional account for recurrent linguistic patterns, an account that we believe increments to possibly completed turns. To the degree that we have been able to

## Appendix: Transcription Symbols

, ? Bold	she:	she she	— thi	(0.3) hhh	(1)	Symbol
High rising intonation contours: level, slight rise, slight fall Intermediate intonation contours: level, slight rise, slight fall Bold type highlights increments in the examples	Colon indicates sound stretch Low falling intonation	Underscore indicates prominent stress  Degree signs indicate lower volume than surrounding talk	Hyphen indicates a sound cut off The onset of overlap	A timed pause Audible breath	A short, untimed pause	Interpretation

Downing, Charles Goodwin, Marja-Liisa Helasvuo, Nikolaus Himmelmann, Shoichi Iwasaki We are grateful to Joan Byhee, Elizabeth Couper-Kuhlen, Susanna Cumming, Pamela Mori for valuable discussion of the issues in this chapter. Responsibility for any remain-Gene Lerner, Edith Moravesik, Tsuyoshi Ono, Emanuel Schegloff, and especially Junko

- Helasvuo (2001), respectively 1. For Unattached NPs in Mandarin and Finnish conversation, see Tao (1996) and
- interpretable as additions to a prior possibly completed turn, though syntactically ing only at a subclass of their unattached NPs, namely those that occur as increments. the predicate is clearly recoverable from the question). In the current study, we are lookthat are produced without a predicate (except, for example, in answers to questions where 2. One and Thompson (1994) actually use the term unattached NP to cover all NPs
- Robert Jasperson, and Emanuel Schegloff for generously sharing their data with us. 3. Supplementing our own data, we are grateful to Charles and Marjorie Goodwin
- the way we are using it here. 4. Tanaka (1999), however, does use the term extension in a slightly different way from
- in this example, there is indeed a rise in the pitch contour at the end of this turn. We do simultaneous conversation between Beth and one of her children. not have an explanation for the use of this intonation in this instance. We have omitted a 5. While rising intonation is not usually associated with Wh-questions such as John's

# 6. We are used to thinking of verbal repair when we think of "hitches." But it is pos-

Constituency and the Grammar of Turn increments

- sible that a body movement repair could also accomplish the work of a hitch 7. This redoing of reference provides a natural example of the phenomenon observed
- an NTRI, is r-ful. can see that the first reference formulation is r-less, while the second, done in response to by Labov (1966) in his famous study of r-lessness in New York City. In our example, we
- that stance might be. clue to Bee's stance toward the event, but it is unclear at least to us as analysts exactly what 8. It is possible that the unusual phonetic production of ba:by be:way is also some
- 9. In our audio increment data base, out of forty-five extensions, seven are NPs, and
- four of these seven NPs are temporal phrases such as these days or ten wars. 10. See Goodwin (1979, 1981) for the groundbreaking analysis of this example, which
- provided the stimulus for much of the research into "added segments" and grammatical

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## **Cultivating Prayer**

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A central function of language is to establish and maintain a sense of continuity and well-being throughout the life course. Language provides a medium for making sense out of past events and coping with the unpredictability of the future. This endeavor involves sorting out temporal-causal orderings of events (i.e., what did/did not or will/will not happen) and imbuing them with moral castings (i.e., what should/should not have happened or should/should not happen). All levels of language are recruited to this end, including genre (Bakhtin 1981, 1986). Every community has a repertoire of genres that organize particular events and trajectories in terms of conventional structurings, understandings, and sentiments. These communally sanctioned templates can be soothing to those who are working through disarming events. Beyond offering structural containment, genres facilitate collective involvement in grappling with events remembered and anticipated.

Distinct from other genres, public prayer offers a template for recruiting support from the Divine as well as from community members. Prayer is a form of communication in which there is a conscious and active attempt to enter into dialogue with higher powers. In its ideal form, "prayer is religion in act... no vain exercise of words, no mere repetition of certain sacred formulae, but the very movement itself of the soul, putting itself in a personal relation of contact with the mysterious power" (lames 1902/1982:361). While in that quote William James emphasizes the personal relation of contact, communities the world over attend